# UNITED STATES <br> SECURITIES AND EXCHANGE COMMISSION <br> Washington, D.C. 20549 <br> FORM ABS-15G 

ASSET BACKED SECURITIZER REPORT
Pursuant to Section 15G of the
Securities Exchange Act of 1934

# HORIZON TECHNOLOGY FINANCE CORPORATION <br> (Exact Name of Registrant as Specified in its Charter) 

Rule 15Ga-1 under the Exchange Act (17 CFR 240.15Ga-1) for the reporting period August 13, 2019 to December 31, 2019. Date of Report (Date of earliest event reported): January 31, 2020

Commission File Number: 025-01538
Central Index Key Number of securitizer: 0001487428

Robert D. Pomeroy, Jr. (860) 676-8654
(Name and telephone number, including area code, of the person to contact in connection with this filing.)
Indicate by check mark whether the securitizer has no activity to report for the initial period pursuant to Rule 15Ga-1(c)(1)
Indicate by check mark whether the securitizer has no activity to report for the quarterly period pursuant to Rule 15Ga-1(c)(2)(i)

Indicate by check mark whether the securitizer has no activity to report for the annual period pursuant to Rule 15Ga-1(c)(2)(ii) $\mathbf{x}$

## PART I - REPRESENTATION AND WARRANTY INFORMATION

## Item 1.01 Initial Filing of Rule 15Ga-1 Representations and Warranties Disclosure.

Not applicable.
Item 1.02 Periodic Filing of Rule 15Ga-1 Representations and Warranties Disclosure.
Pursuant to Rule 15Ga-1(c)(2)(ii), Horizon Technology Finance Corporation has indicated by check mark that there is no activity to report for the calendar year ended December 31, 2019.

Item 1.03 Notice of Termination of Duty to File Reports under Rule 15Ga-1
Not applicable.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the reporting entity has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.
Horizon Technology Finance Corporation
(Securitizer)

Date: January 31, 2020
By: /s/Robert D. Pomeroy, Jr.
Robert D. Pomeroy, Jr.
Its Chief Executive Officer

