

OMB APPROVAL	
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Compass Group Investments, Ltd.</u> _____ (Last) (First) (Middle) 69 PITTS BAY ROAD BELVEDERE BUILDING -- 4TH FLOOR _____ (Street) HAMILTON D0 HM08 _____ (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 10/28/2010	3. Issuer Name and Ticker or Trading Symbol <u>Horizon Technology Finance Corp [HRZN]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year) _____ 6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,598,249	D ⁽¹⁾⁽²⁾	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

1. Name and Address of Reporting Person* <u>Compass Group Investments, Ltd.</u> _____ (Last) (First) (Middle) 69 PITTS BAY ROAD BELVEDERE BUILDING -- 4TH FLOOR _____ (Street) HAMILTON D0 HM08 _____ (City) (State) (Zip)
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1. Name and Address of Reporting Person* <u>Compass Horizon Partners, LP</u> _____ (Last) (First) (Middle) 69 PITTS BAY BELVEDERE BUILDING -- 4TH FLOOR _____ (Street) HAMILTON D0 HM08 _____ (City) (State) (Zip)
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1. Name and Address of Reporting Person*		
NAVCO Management, Inc.		
(Last)	(First)	(Middle)
69 PITTS BAY ROAD BELVEDERE BUILDING -- 4TH FLOOR		
(Street)		
HAMILTON	D0	HM08
(City) (State) (Zip)		
1. Name and Address of Reporting Person*		
Path Spirit LTD		
(Last)	(First)	(Middle)
69 PITTS BAY ROAD BELVEDERE BUILDING -- HM08		
(Street)		
HAMILTON	D0	HM08
(City) (State) (Zip)		

Explanation of Responses:

1. The shares of common stock are owned directly by Compass Horizon Partners, LP, which is owned by Concorde Horizon Holdings LP and Navco Management, Ltd. Concorde Horizon Holdings LP is owned by Anholt Investments Ltd. (formerly known as Compass Group Investments, Ltd.) and Navco Management, Ltd. Anholt Investments Ltd. and Navco Management, Ltd. are wholly owned by Kattegat Limited, a Bermudian exempt company with its principal offices at Belvedere Building, 69 Pitts Bay Road, Pembroke HM 08, Bermuda. Kattegat Limited was formed for the purpose of holding and managing the endowed assets of The Kattegat Trust and is wholly owned by The Kattegat Trust. The Kattegat Trust is a Bermudian charitable trust, engaged in the principal business of distributing income for charitable purposes, with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda. [cont FN2]

2. [cont from FN1] The trustee of The Kattegat Trust is Kattegat Private Trustees (Bermuda) Limited (the "Trustee"), a Bermudian trust company with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda. Path Spirit Limited is the trust protector for The Kattegat Trust. The Trustee is wholly owned by The Lund Purpose Trust, a Bermudian purpose trust with its principal offices at Thistle House, 4 Burnaby Street, Hamilton HM 11, Bermuda, formed for the sole purpose of holding the shares of the Trustee. Anholt Investments Ltd., Navco Management, Ltd., Path Spirit Limited, Concorde Horizon Holdings LP and Compass Horizon Partners, LP disclaim beneficial ownership of the shares of common stock, except to the extent of their pecuniary interest therein.

/s/ Cora Lee Starzomski,
Director of Navco
Management Ltd., General 10/28/2010
Partner of Compass Horizon
Partners, LP

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 99.2

Form 3 Supplemental Joint Filer Information

Name: Path Spirit Limited

Address: 10 Norwich Street
London EC4A 1BD
United Kingdom

Designated Filer: Anholt Investments Ltd.

Issuer & Ticker Symbol: Horizon Technology Finance Corporation [HRZN]

Date of Event Requiring Statement: 10/28/2010

Path Spirit Limited

By: /s/ Arthur F. Coady, Director