FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Michaud Gerald A.					2. Issuer Name and Ticker or Trading Symbol Horizon Technology Finance Corp [ HRZN								Relationship of Reporting Person(s) to Issuer (Check all applicable)							
IVIICIIat	<u>iu Geraiu</u>	]									X	X Director			10% O	wner				
(Last)	(Fir	et) (N	_	•									Office below	er (give title		Other (s	specify			
` ′	•	,	Middle)			3. Date of Earliest Transaction (Month/Day/Year) 03/05/2021									President					
312 FARMINGTON AVENUE 03/05/2021																				
(Street)					4. If A										Individual or Joint/Group Filing (Check Applicable ine)					
FARMIN											X Form filed by One Reporting Person									
												Form Perso	filed by Mo	re than	One Rep	orting				
(City)	(Sta	ate) (Z	Zip)																	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date			2. Transac Date (Month/Da	Exe ny/Year) if ar		P.A. Deemed Execution Date, f any Month/Day/Year)		3. Transaction Code (Instr. 8)			4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
							o,		Code	v	Amount	(A) (D)	or P	rice	Reported		()(		(Instr. 4)	
Common Stock 03/05/					2021				P		11,300	A	. ;	\$13.3	10	109,578		D		
Common Stock														485			I	Owned by Spouse		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
				(e.g., pu	ıts, ca	alls, v	varra	ants,	optio	ns, c	onvertib	le se	curit	ies)						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed on Date, Day/Year)		Transaction of Code (Instr. Derivative			6. Date Expirati (Month/		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		De Se (In	Price of rivative curity str. 5)		Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Ownership Form: Direct (D) or Indirect	Beneficial Ownership (Instr. 4)		
					Code V		(A)	(D)	Date Exercis	able	Expiration Date	Title	Amou or Numb of Share	per						

**Explanation of Responses:** 

Remarks:

/s/Gerald A. Michaud

03/08/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.